

# PRIVACY IMPACT ASSESSMENT (PIA)

**PRESCRIBING AUTHORITY:** DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

**1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:**

Groups Operational Passenger System (GOPAX)

**2. DOD COMPONENT NAME:**

United States Transportation Command

**3. PIA APPROVAL DATE:**

11/02/22

## SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

**a. The PII is:** (Check one. Note: foreign nationals are included in general public.)

- From members of the general public  From Federal employees and/or Federal contractors
- From both members of the general public and Federal employees and/or Federal contractors  Not Collected (if checked proceed to Section 4)

**b. The PII is in a:** (Check one)

- New DoD Information System  New Electronic Collection
- Existing DoD Information System  Existing Electronic Collection
- Significantly Modified DoD Information System

**c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.**

GOPAX requests, coordinates, and executes the CONUS-based travel of DOD personnel in groups (typically greater than 40 passengers) via bus or airplane. Information for the unit's Passenger Group 24-hour point of contact (POC) found in the "24 Hr Phone" field in the contact section of a movement request may include the POC's personal cellular phone number for purposes of being able to reach the 24-hour POC concerning schedule or mission changes outside normal duty hours. If the 24-hour POC does provide a cellular phone number, the data entry screen shows, "Treat as PII," below the "24 Hr Phone" field and displays a small cellular phone icon at the far right of the data entry box.

**d. Why is the PII collected and/or what is the intended use of the PII?** (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The PII collected (i.e., personal cellular phone number) is for identification, mission-related, and administrative use, especially when schedule or mission changes occur outside normal duty hours.

**e. Do individuals have the opportunity to object to the collection of their PII?**  Yes  No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The 24-hour unit POC does not have the opportunity to object to his or her PII collection; however, the unit Passenger Group POC may elect not to provide a personal cellular phone number (i.e., non-duty phone) in the "24 Hr Phone" data field in the contact section of a movement request. If the unit POC provides a cellular phone number, "Treat as PII" is displayed below the data field and a small cellular phone icon is displayed at the far right of the data field. If the unit Passenger Group POC does not provide a cellular phone number, the transportation carrier may be unable to contact the unit, potentially delaying the movement.

**f. Do individuals have the opportunity to consent to the specific uses of their PII?**  Yes  No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

The 24-hour unit POC consents to his or her PII collection by providing their personal cellular phone number (i.e., non-duty phone) in the "24 Hr Phone" data field in the contact section of a movement request; however, the unit Passenger Group POC may elect not to provide a personal cellular phone number. If the unit POC provides a cellular phone number, "Treat as PII" is displayed below the data field and a small cellular phone icon is displayed at the far right of the data field. If the unit Passenger Group POC does not provide a cellular phone number, the transportation carrier may be unable to contact the unit, potentially delaying the movement.

**g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided.** (Check as appropriate and provide the actual wording.)

- Privacy Act Statement  Privacy Advisory  Not Applicable

Privacy Act Statement from the GOPAX Rules of Behavior:

1. Transport.mil users shall protect PII in accordance with (IAW) the DOD CIO PII Memorandum, dated 18 August 2006. Key provisions are listed below. Ultimately, it is the responsibility of the user to ensure PII is protected IAW with all applicable guidance:
2. Printed output products containing PII must be labeled correctly. The policy for labeling output products containing Privacy Act information is DODI 5200.48 and the DOD CUI website at <https://www.dodcui.mil/>.
3. PII must be protected from unauthorized access especially when the system is in use and when the information is printed. The Privacy Act of 1974, as amended, 5 U.S.C. § 552a(i) provides for criminal penalties for violating PII policies.

Privacy Advisory:

You are accessing a U.S. Government (USG) Information System (IS) that is provided for USG-authorized use only. By using this IS (which includes any device attached to this IS), you consent to the following conditions:

The USG routinely intercepts and monitors communications on this IS for purposes including, but not limited to, penetration testing, COMSEC monitoring, network operations and defense, personnel misconduct (PM), law enforcement (LE), and counterintelligence (CI) investigations.

At any time, the USG may inspect and seize data stored on this IS.

Communications using, or data stored on, this IS are not private, are subject to routine monitoring, interception, and search, and may be disclosed or used for any USG-authorized purpose.

This IS includes security measures (e.g., authentication and access controls) to protect USG interests--not for your personal benefit or privacy.

Notwithstanding the above, using this IS does not constitute consent to PM, LE, or CI investigative searching or monitoring of the content of privileged communications, or work product, related to personal representation or services by attorneys, psychotherapists, or clergy, and their assistants. Such communications and work product are private and confidential. See User Agreement for details.

The 24-hour cellular phone number entered in this system will be treated as PII.

For more information on these policies follow the below links.

<https://www.ustranscom.mil/foia/index/cfm?thisview=pia> "GOPAX"

<https://www.ustranscom.mil/foia/index/cfm?thisview=sorns> "FTRANSCOM"

**h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)**

- Within the DoD Component Specify.
- Other DoD Components Specify.
- Other Federal Agencies Specify.
- State and Local Agencies Specify.
- Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) Specify.
- Other (e.g., commercial providers, colleges). Specify.

**i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)**

- Individuals  Databases
- Existing DoD Information Systems  Commercial Systems
- Other Federal Information Systems

The information for the 24-hour unit Passenger Group POC is first collected from the POC at the time a movement request is created, and may be updated during the bid and award process.

**j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)**

- E-mail  Official Form (Enter Form Number(s) in the box below)
- Face-to-Face Contact  Paper
- Fax  Telephone Interview
- Information Sharing - System to System  Website/E-Form

Other (If Other, enter the information in the box below)

The information for the 24-hour unit Passenger Group POC is first collected from the POC at the time a movement request is created, and may be updated during the bid and award process. GOPAX is accessible at <https://gopax.transport.mil>.

**k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes  No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>  
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

**l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?**

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

Unit Passenger Group POC information is retained until the movement request is closed out and then it is deleted.

**m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.**

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
  - (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
  - (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
  - (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

This information system is a DOD-chartered program of record. Primary authority for operation is DOD Instruction Number 5158.06 - "USTRANSCOM."

**n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?**

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes  No  Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

GOPAX does not collect PII from the public.